

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF GEORGIA
ATLANTA DIVISION

DIEGO CERVANTES, Individually and)	Civil Action No. 1:18-cv-02551-AT
on Behalf of the Invesco 401(k) Plan)	
and All Others Similarly Situated,)	<u>CLASS ACTION</u>
)	
Plaintiff,)	NOTICE OF SETTLEMENT
)	
vs.)	
)	
INVESCO HOLDING COMPANY)	
(US), INC.; INVESCO LTD.;)	
INVESCO NATIONAL TRUST)	
COMPANY; INVESCO ADVISERS,)	
INC.; INVESCO BENEFITS PLAN)	
COMMITTEE; SUZANNE)	
CHRISTENSEN; JOHN COLEMAN;)	
WASHINGTON DENDER; PETER)	
GALLAGHER; DAVID GENOVA;)	
DOUGLAS SHARP; BEN UTT;)	
GARY WENDLER; KEVIN M.)	
CAROME; LOREN M. STARR; and)	
JOHN DOES 1-20,)	
)	
Defendants.)	
)	

PLEASE TAKE NOTICE that Plaintiff Diego Cervantes and Defendants Invesco Holding Company (US), Inc., Invesco Ltd., Invesco Trust Company (formerly, Invesco National Trust Company), Invesco Advisers, Inc., Invesco

Benefits Plan Committee; Suzanne Christensen, John Coleman, Washington Dender, Peter Gallagher, David Genova, Douglas Sharp, Ben Utt, Gary Wendler, Kevin M. Carome, and Loren M. Starr (collectively, “Defendants,” and, together with Plaintiff, the “Parties”), have reached an agreement-in-principle to settle this action on a class-wide basis.

The parties will work diligently on a written settlement agreement and prepare and submit a motion for preliminary approval of the anticipated class settlement under Rule 23(e) of the Federal Rules of Civil Procedure. The parties anticipate they will be in a position to make that submission to the Court within 60 days. In the event the parties are unable to reach a final agreement to settle this action, they will promptly notify the Court.

DATED: November 22, 2019

Respectfully Submitted,

/s/ John C. Herman

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CERTIFICATE OF COMPLIANCE WITH LR 5.1

I hereby certify that the foregoing document is written in 14-point Times New Roman font in accordance with Local Rule 5.1.

By: /s/ John C. Herman
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CERTIFICATE OF SERVICE

I hereby certify that on November 22, 2019, I electronically filed the above document with the Clerk of Court using CM/ECF which will send electronic notification of such filing to all registered counsel.

By: /s/ John C. Herman

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